| · | | | | | | | | | |
|---|---|---|---|--|--|--|--|--|--|
| FORM PTO-1390 (REV 10-94) | U.S. DE | PARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | | | | | |
| 1. | DESIGNATED/ELECTE | TO THE UNITED STATES ED OFFICE (DO/EO/US) IG UNDER 35 U.S.C. 371 | 10873.1783USWO | | | | | | |
| | | | U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown / 550070 | | | | | | |
| INTERNATI | IONAL APPLICATION NO. | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| PCT/JP200 | 4/003835 | March 22, 2004 | March 24, 2003 | | | | | | |
| TITLE OF I | TITLE OF INVENTION | | | | | | | | |
| BLOOD FI | LTER DEVICE AND METHOD | OF PRODUCING THE SAME | | | | | | | |
| APPLICANT | Γ(S) FOR DO/EO/US | | | | | | | | |
| KAWARABATA et al. | | | | | | | | | |
| Applicant he | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| 1. [X] 2. [] 3. [X] 4. [] 5. [X] a. b. c. | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [] is transmitted herewith (required only if not transmitted by the International Bureau). b. [X] has been transmitted by the International Bureau. | | | | | | | | |
| 6. [] | A translation of the International Application into English (35 U.S.C. 371(c)(2)). | | | | | | | | |
| 7. [X] | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) [] are transmitted herewith (required only if not transmitted by the International Bureau). [] have been transmitted by the International Bureau. [] have not been made; however, the time limit for making such amendments has NOT expired. [X] have not been made and will not be made. | | | | | | | | |
| 8. [] | A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. [X] | A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). | | | | | | | | |
| 10. [] | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| Items 11. to 11. [] | 16. below concern document(s) or in An Information Disclosure Statemen | | ferences. | | | | | | |
| 12. [X] | An assignment document for recording | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | |
| 13. [X] [] | A FIRST preliminary amendment. A SECOND of SUBSEQUENT preliminary amendment. | | | | | | | | |
| 14. [] | A substitute specification. | | | | | | | | |
| 15. [] | A change of power of attorney and/or address letter. | | | | | | | | |
| 16. [X] | Other items or information: Application Data Sheet (5 pages), 9 sheets formal drawings, Communication regarding Figure Publication, International Publication Page WO 2004/084974 A1, Form PCT/ISA/210 (second sheet), Form PCT/IB/308, Form PCT/IB/304, Form PCT/ISA/237 (4 pages) | | | | | | | | |

| | | | | | 10000 11 | ACTEMA DI C | <u> </u> | | |
|---|------|------------------|--|-----------------------------|-----------------------|-------------------------------|-------------------|--|--|
| U.S. APPLICATION NO. 41 known 500 37 C.F.R. 1.5) Unknown 10/550070 INTERNATIONAL APPLICATION 1620 Reco | | | | | 10873.1783USWO | | | | |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): [X] a) Basic National fee\$300 | | | | | | \$300 | | | |
| [X] b) Examination fee\$200 | | | | | | \$200 | | | |
| [X] c) Search fee\$500 | | | | | | \$500 | | | |
| | | • | TOTAL | OF ABOVE CALC | CULATIONS = | \$1000 | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets E | | extra Sheets | Number of each addition 50 sheets, more than 1 | | Rate | | | | |
| 31 -100 = | 0 | /50 = | 0 | | X \$250 | \$0 | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | \$0 | | | |
| CLAIMS | | NUMBER | . FILED | NUMBER EXTRA | RATE | | | | |
| Total claims | | 16 | -20 = | 0 | X \$50 | \$0 | | | |
| Independent claims | | 2 | -3 = | 1 | X \$200 | \$0 | | | |
| MULTIPLE DEP | ENDE | NT CLAIM(S) | (if applicable | le) | + \$360 | \$0 | | | |
| | | | TOTAL | OF ABOVE CALC | CULATIONS = | \$1000 | | | |
| Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27 | | | | | | \$0 | | | |
| r ; . | | | \$1000 | | | | | | |
| Processing fee of \$130 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f). | | | | | | \$0 | | | |
| TOTAL NATIONAL FEE = | | | | | | \$1000 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | \$40 | | | |
| TOTAL FEES ENCLOSED = | | | | | \$1000 & \$40 | | | | |
| | | | | **** | | Amount to be: refunded | \$0 | | |
| | | | | | | charged | \$0 | | |
| a. [X] Check(s) in the amount of \$1000 & \$40 to cover the above fees is enclosed. | | | | | | | | | |
| b. [] Please cl authorization form | | the amount of \$ | to cover | the required filing fee for | a large entity to the | credit card listed on the enc | losed credit card | | |

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

send all correspondence to: Douglas P. Mueller

Hamre, Schumann, Mueller & Larson, P.C.

P.O. Box 2902-0902

Minneapolis, MN 55402

SIGNATURE: (

NAME: Douglas H. Mueller

REGISTRATION NUMBER: 30,300

UNITED STATES PATENT AND TR

Applicant:

KAWARABATA et al.

Attorney Docket:

10873.1783USWO

Title:

BLOOD FILTER DEVICE AND METHOD OF PRODUCING THE

SAME

CERTIFICATE UNDER 37 CFR 1.10

Express Mail mailing label number: EV 648467805 US

Date of Deposit: September 21, 2005

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Application, Commissioner for Patents, P.O. Box 1450,

Arlington,

Name: Ralynn Wilhelm

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

52835 PATENT TRADEMARK OFFICE

Commissioner:

The following papers are transmitted herewith:

- \boxtimes Transmittal sheet, in duplicate, containing Certificate Under 37 CFR 1.10;
- National Stage Patent Application including: Description 17 pages; 16 Claims 4 pages;
 - Abstract 1 page; Drawings 9 sheets (formal);
- Signed Combined Declaration and Power of Attorney;
- Assignment of the invention to JMS CO., LTD., Recordation Form Cover Sheet, a check for \$40 to cover the Assignment Recording Fee.
- Application Data Sheet 5 pages;
- Check(s) for the amount of \$1000 to cover the filing fee calculated below;
- Other: Preliminary Amendment (6 pages), Form PTO 1390, Communication regarding Figure Publication, International Publication Page WO 2004/084974 A1, Form PCT/ISA/210 (second sheet), Form PCT/IB/308, Form PCT/IB/304, Form PCT/ISA/237 (4 pages)
- 冈 Return postcard

CLAIMS AS FILED

| Number of Claims Filed | No. | In Excess of | Extra | Rate | | Fee |
|-------------------------------|-----|--------------|-------|-------|---|--------|
| Total Claims | 16 | 20 | 0 | \$50 | = | \$0 |
| Independent Claims | 2 | 3 | 0 | \$200 | = | \$0 |
| Multiple Dependent Claims Fee | | | | | | \$0 |
| Basic Filing Fee | | | | | = | \$300 |
| Search Fee | | | | | = | \$500 |
| Examination Fee | | | | | | \$200 |
| Utility Application Size Fee | 31 | 100 | 0 | \$250 | = | \$0 |
| Total | | | | | | \$1000 |

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

Name: Douglas P. Mueller

Reg. No. 1/30,300 Initials: DPM/acp

(PTO TRANSMITTAL - NEW FILING)

JC20 Rec'd PCT/PTG 21 SEP 2005

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

KAWARABATA et al.

Serial No.:

Unknown

Filed:

Concurrent herewith

Docket No.:

10873.1783USWO

Title:

BLOOD FILTER DEVICE AND METHOD OF PRODUCING THE SAME

CERTIFICATE UNDER 37 CFR 1.10

Express Mail mailing label number: EV 648467805 US

Date of Deposit: September 21, 2005

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Application, Commissioner for Patents, P.O. Box 1450,

Arlington, VA/22313-1450

By: V/V
Name: Ralynn Wilhelm

COMMUNICATION REGARDING REQUESTED FIGURE

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

In connection with the above-identified application, applicant respectfully requests that Figure 1C be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record Douglas P. Mueller (Reg. No. 30,300), at (612) 455.3804.

52835

PATENT TRADEMARK OFFICE

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &

LARSON, P.C. P.O. Box 2902-0902

Minneapolis, MN 55402-0903

(612) 455-380g

Dated: September 21, 2005

By:

ouglas P. Mueller

Neg. No. 30,300

DPM/acp